



Utah State Board of Education

EARLY WARNING SYSTEM (EWS) COMPLIANCE REVIEW FOR LEA LEADERS

A UTAH STATE BOARD OF EDUCATION TECHNICAL
ASSISTANCE DOCUMENT

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EARLY WARNING SYSTEM COMPLIANCE REVIEW

PURPOSE

[Utah Code Section 53F-4-207](#) and [Board Rule R277-627](#) require LEAs to implement a digital Early Warning System (EWS) to identify students who may be at risk of academic failure and provide prompt and timely intervention. This review is designed to help LEA leaders determine whether their current Student Information System (SIS) and/or digital EWS appears capable of meeting Utah requirements.

COMPLIANCE REVIEW

For each area below, indicate whether your current system and processes appear to meet the requirement. If you answer **No** or **Unsure**, work with your vendor to determine whether the functionality exists, how it can be configured, and what additional steps may be needed to support compliance.

Requirement Area	Yes	No	Unsure
Student Data and Reporting: Can the system provide the student-level, aggregate, and comparison reporting required to support student identification and decision-making?	☐	☐	☐
Early Warning Indicators and Student Identification: Can the system monitor required risk indicators, establish thresholds, and automatically identify students who may need support?	☐	☐	☐
Intervention Tracking and Tiered Supports: Can the system document interventions, monitor student response, and support tiered intervention processes?	☐	☐	☐
Collaboration and Family Communication: Can the system support collaboration among educators and communication with families regarding student supports and interventions?	☐	☐	☐
Privacy, Parent Rights, and Notification Requirements: Can the system and LEA support required privacy protections, parent rights, consent requirements, survey opt-out processes, and parent notification requirements?	☐	☐	☐

IF YOU ANSWERED "NO" OR "UNSURE"

Ask your SIS or EWS provider to demonstrate:

STUDENT DATA AND REPORTING

- How educators can access student-level and aggregate reports.
- How data can be viewed, filtered, and compared across student groups.

EARLY WARNING INDICATORS AND STUDENT IDENTIFICATION

- How attendance, behavior, discipline/ safety violations, and course performance indicators are monitored.
- How students are automatically identified when established thresholds are met.

INTERVENTION TRACKING AND TIERED SUPPORTS

- How interventions are documented, monitored, and connected to Tiered Supports processes.
- How student response to interventions can be reviewed over time.

COLLABORATION AND FAMILY COMMUNICATION

- How educators can share information, document notes, and coordinate support.
- How parent communications can be generated or documented.

PRIVACY, PARENT RIGHTS, AND NOTIFICATION REQUIREMENTS

- How the system supports privacy protections, role-based access, parental consent requirements, survey opt-out processes, and parent notification requirements.

WHAT YOUR RESULTS MEAN

YES RESPONSES

Your responses suggest that your current SIS/EWS may support the major requirements of Utah's Early Warning System law and rule. This review does not verify compliance with every requirement. LEAs should periodically review both system capabilities and implementation practices to ensure ongoing compliance and effective student support.

ONE OR MORE NO OR UNSURE RESPONSES

Work with your vendor to determine whether the functionality already exists, can be configured, or requires additional tools or services.

LEAs can also contact Cuong Nguyen or visit [USB E Early Warning webpage](#) for more information.

FUNCTIONALITY DOES NOT EXIST

If your vendor cannot demonstrate how required functionality is supported, the LEA should consider whether system modifications, supplemental tools, or alternative solutions are needed to meet Utah EWS requirements. LEAs may also explore the state-approved Early Warning System contract available through [Panorama Education](#).