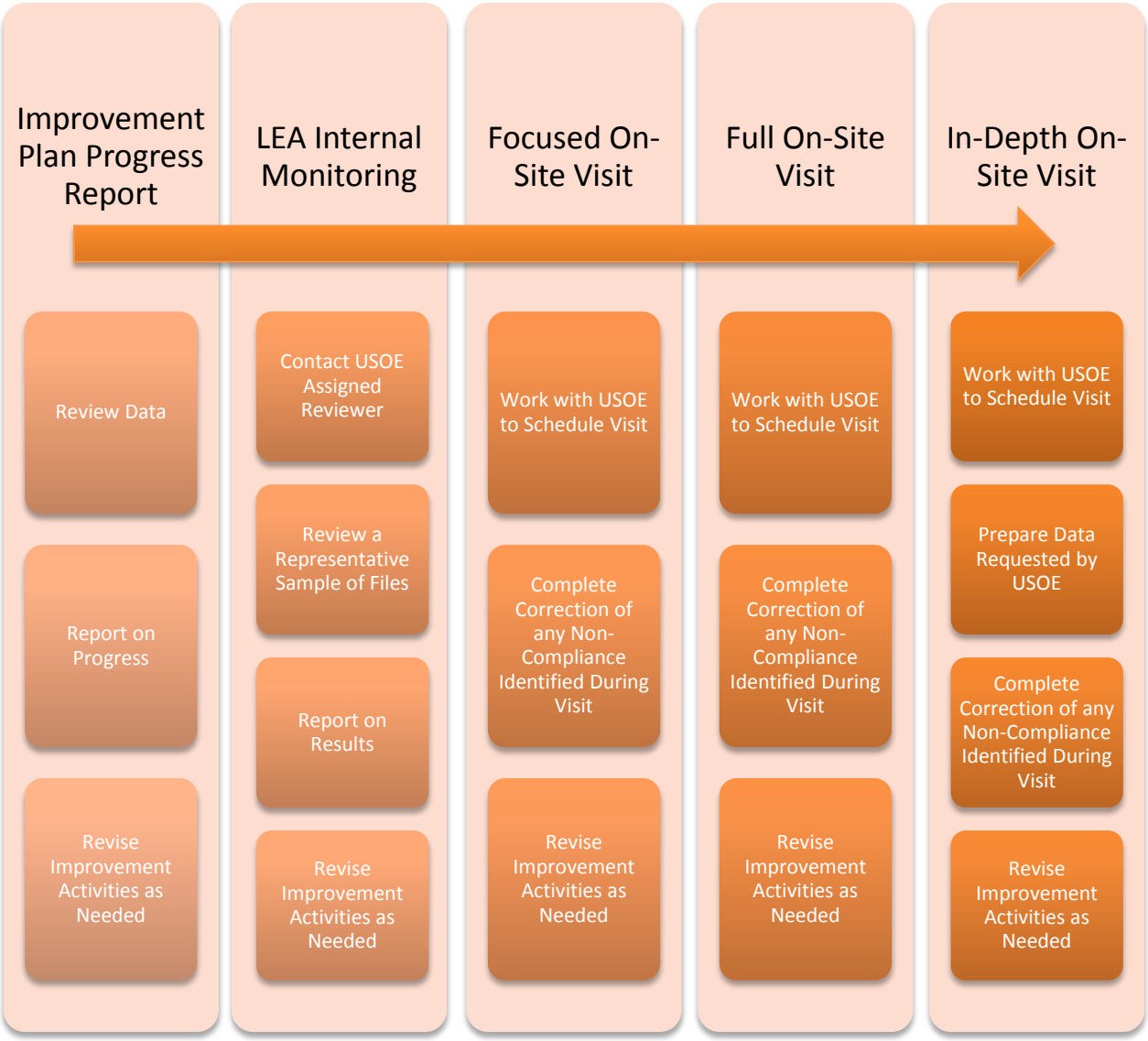
USOE Tiered Monitoring Structure

While the USOE continues to monitor IDEA compliance, renewed focus has been put on the systematic evaluation of the impact of special education services on student achievement. Thus, this model has shifted from a previous emphasis of episodic procedural monitoring to one of active strategic planning and continuous improvement within the framework of compliance. The USOE has developed a tiered monitoring system to meet this goal. Annually, the USOE completes a comprehensive data review and analysis to identify each LEA's strengths and areas of concerns. LEAs are assigned compliance monitoring tasks based on the USOE data analysis. The process and data used in making these determinations are outlined below.



Data Considered in Determination of Tiered Activities:

- SEA Concerns
- Current APR Data and Determination
- LEA Performance on USOE Targeted Indicators
    - Indicator 1—Graduation Rates
    - Indicator 2—Dropout Rates
    - Indicator 3—Proficiency on Math Assessments
    - Indicator 6—Preschool LRE
    - Indicator 7—Preschool Outcomes
    - Indicator 13—Secondary Transition
    - Indicator 14—Post-School Outcomes
- APR Determination History
- LEA Self-Assessment Results
- Timely and Quality Correction of Noncompliance
- LEA System for Internal Monitoring of Compliance
- LEA On-site visit results
- Quality of Program Improvement Plan
- Progress on Program Improvement Plan
- Dispute Resolution Data
- Data Timeliness
- Data Quality
- Data Trends
- Use of CEIS
- Fiscal Quality and Timeliness
- LEA Staff turnover
- New LEA Special Education Director
- Qualified ASL Interpreters
- LEA Access to Professional Development

	Tier 1	Tier 2	Tier 3	Tier 4	Tier 5
Level of Determination Identified by:	LEA demonstrates substantial compliance on targeted indicators and LEA submits valid and reliable data and fiscal documentation and LEA demonstrates general supervision practices reasonably calculated to maintain a quality, compliant special education program for students with disabilities	LEA demonstrates substantial compliance on targeted indicators and LEA submits valid and reliable data and fiscal documentation but LEA has not documented internal general supervision practices reasonably calculated to maintain a quality, compliant special education program for students with disabilities	USOE needs additional data in one or more areas of the LEA's general supervision responsibilities and LEA has not documented internal general supervision practices reasonably calculated to maintain a quality, compliant special education program for students with disabilities	USOE needs additional data regarding the LEA's ability to maintain a quality, compliant special education program for students with disabilities.	USOE has concerns about the LEAs ability to maintain a quality, compliant special education program for students with disabilities and is concerned about the LEA's provision of FAPE for students with disabilities
LEA Monitoring Activities	**Improvement Plan Progress Report** • LEA special education director reviews data • LEA special education director submits progress report on June 30 • LEA special education director revises improvement activities as needed, based on data	**Improvement Plan Progress Report** • All of the activities from Tier 1 **LEA Internal Monitoring** • LEA special education director contacts USOE assigned reviewer to schedule file reviews • LEA staff and USOE reviewer complete a representative sample of file reviews • LEA special education director reports on results of the file reviews and revises improvement activities as needed	**Improvement Plan Progress Report** • All of the activities from Tier 1 **LEA Internal Monitoring** • All of the activities from Tier 2 **Focused On-site Visit** • LEA special education director works with USOE staff to schedule the on-site visit • LEA corrects noncompliance identified during the on-site visit • LEA special education director reviews and revises improvement activities as needed	**Improvement Plan Progress Report** • All of the activities from Tier 1 **LEA Internal Monitoring** • All of the activities from Tier 2 **Full On-site Visit** • LEA special education director works with USOE staff to schedule the on-site visit • LEA corrects noncompliance identified during the on-site visit • LEA special education director reviews and revises improvement activities as needed	**Improvement Plan Progress Report** • All of the activities from Tier 1 **LEA Internal Monitoring** • All of the activities from Tier 2 **In-depth On-site Visit** • LEA special education director works with USOE staff to schedule the on-site visit • LEA special education director prepares data requested by the USOE • LEA corrects noncompliance identified during the onsite visit • LEA special education director reviews and revises improvement activities as needed