

# AEFLA Second-Year Funding Standards

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## Introduction:

It is important that recipients of an Adult Education Family Literacy Act (AEFLA) grant strive to meet the academic needs of the adult learner. In doing so, a program's success is measured by analyzing the first-year outcomes through the utilization of second-year funding standards. Second-year funding standards are applied to all AEFLA recipients, including school districts, community-based organizations and other AEFLA qualifying programs. Second-year funding standards are applied to all AEFLA awards, including Adult Basic Education grants, English Language and Civics Education grants, and Prisons and Institutionalized grants following the first year of the multiple-year grant award.

The standards are based on the quality and intensity of educational services with students who are academically most in need who were served in the previous academic year. The National Reporting System (NRS) state tables 4 and 4B, and each program's Target Level Gains and Post-Testing Percentage Rates Report, serve as data reference points. Adult education programs must have an approved grant application (first year of funding) and a renewal application (subsequent years funding) on file with the Utah State Office of Education.

## Purpose:

The purpose of second-year funding standards is to equitably distribute available AEFLA funds based on performance outcomes of the first year. Programs must have provided direct educational services to adult education students most in need, specifically; Adult Basic Education (ABE) levels 1-2 and English for Speakers of Other Languages (ESOL) levels 1-4 through the use of effective educational practices demonstrating adequate program improvement as measured by the AEFLA Second-Year Funding Standard parameters.

Adequate program performance is determined by:

- Increased student post-testing outcomes.
- Sufficient program instructional intensity resulting in improved student educational outcomes;
  - Enrollee academic level gains.
- Compliance with state reporting standards.

## Standards Parameters:

The following standards and associated parameters apply to all recipients of AEFLA funds, including school districts, community-based organizations (CBOs) and other AEFLA qualifying agencies.

UTopia data from the previous program year is utilized for all data components of the funding standards.

## **Standards:**

1. The program serves enrollees (federal definition: a student with a pre-test and 12 contact hours) most in need—ABE 1-2, and ESOL 1-4—based on the focus of the grant awarded. (Data source: program UTopia Target Level Gains and Post-Testing Percentage Rate report.)
2. The program adheres to the Utah Assessment Policy approved by the Division of Adult Education and Literacy of the U.S. Department of Education, and post-tests ABE and AHSC students after 50 instructional contact hours of participation (excluding AHSC 2) and post-tests ESL students after 60 instructional contact hours. All programs will post-test students at a percentage rate that meets or exceeds the national post-testing rate of 60%.
3. Enrollees (all levels except AHSC 2) will make EFL educational gains, meeting state targets (provided by the USOE) for each instructional EFL. (Data source: Target Level Gains and Post-testing Percentage Rate report.)
4. The program demonstrates administrative capability by submitting:
  - State required reports:
    - End-of Year Program Summary
    - Independent audit with no cited findings of missing or inaccurate data
    - Accurate reimbursement requests with documentation as required
    - Fees and Tuition report on or before the stated report deadline dates.

(Data source: program submission of requested reports.)

## **Future Year Program Standards and Program Expectations:**

Programs will be required to increase Level Gain rates as determined by the state. The level of progress achieved in a program year cannot decrease during subsequent program years.

Programs that do not meet 2<sup>nd</sup> Year AEFLA Funding Rubric Standards with a minimum of six combined points total for Standards 1, 2 and 3 for the program year will be placed on extended technical assistance and may be in jeopardy of not receiving future AEFLA funds.

## Program Year 2014-15 Expectations for Funding in 2015-2016:

Regardless of funding sources (AEFLA, state or other) programs are required to address Standards 1, 2 and 3.

### 2<sup>nd</sup> Year AEFLA Funding Rubric

Standard	Points
<p><b>1. Program serves most in need</b> ABE 1-ABE 2 and ESOL 1-4 students</p> <p>Notes: 1. Population measure is (UTopia) Target Level Gains and Post-testing Percentage Rate – Total Students column. 2. For school districts receiving federal \$, student population measured is a ratio of federal \$ to State \$.</p>	<p>3 points = population served is <math>\geq</math> than 50% of program's <u>total</u> population 2 points = if population served is &lt; than 50% of the program's <u>total</u> population Note: Standard is measured by post-testing % rates by EFL.</p>
<p><b>2. Post-Testing rate</b> is at 60% or higher for each EFL served</p>	<p>2 points = post testing rates for each level served <u>meets or exceeds</u> the 60% national post-testing rate 1 point = post-testing % rate for the <u>majority</u> of all levels served is <math>\geq</math> the 60% national post-testing rate. 0 points = post-testing % rate for all levels is &lt; the 60% national post-testing rate.</p>
<p><b>3. Level Gains rate for all students</b> Note: 1.(School districts receiving federal \$) same proportion measurements are used as defined for standard 1 note 2 above 2. Level Gains % rate is calculated using (UTopia) Target Level Gains and Post-testing Percentage Rate report– Percent with Level Gains</p>	<p>3 points = Level Gains % rates for <u>each</u> level served <u>meet or exceed</u> the State Target % rates 2 points = Level Gains % rate for the <u>majority</u> of all levels is <math>\geq</math> than the State Target-Level Gains % rate 1 point = Level Gains % rate increased from last program year's State Target Level Gains rate in the <u>majority</u> of all levels 0 points = Level Gains % rate for <u>all</u> levels is &lt; the state rate</p>
<p><b>4. Administrative Capability</b> Notes: 1. Quarterly reimbursement requests requirement is exempt. 2. Audit - previous year's audit. 3. Submission of End-of-Year Narrative and 4. Fees Collected report submitted by deadline.</p>	<p>1 bonus point = submission of required program reports by state-defined deadlines</p>

**OUTCOME:** 2<sup>nd</sup> year AEFLA allocation is based on:

7-8 points = full 2<sup>nd</sup> year funding based on AEFLA state allocation

6 points = 80% of 1<sup>st</sup> year's allocation based on AEFLA state allocation

5 points = 60% of 1<sup>st</sup> year funding allocation based on AEFLA state allocation

4 points = 40% of 1<sup>st</sup> year funding allocation based on AEFLA state allocation

#### Unallocated Funds:

Any unallocated second-year funds will be equitably distributed across awardees who have achieved 7-8 points.

